

06-21-01

A

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT**

ATTY DOCKET NO.: 9151.18

DATE: June 20, 2001

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))**JC997 U.S. PTO  
09/885894

06/20/01

## BOX PATENT APPLICATION

Commissioner for Patents

Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application
- ☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP) Application of prior application no.: \_\_\_\_\_; filed \_\_\_\_\_, the disclosure of which is hereby incorporated herein by reference in its entirety.
- ☐ and claims the benefit of Provisional Application No. \_\_\_\_\_; filed \_\_\_\_\_.

Inventor(s) or Application Identifier:

**Gregory S. Shelness**, 734 Sylvan Road, Winston-Salem, North Carolina 27104

Entitled:

**TRUNCATED APOLIPOPROTEIN B-CONTAINING LIPOPROTEIN PARTICLES FOR  
DELIVERY OF COMPOUNDS TO TISSUES OR CELLS**

Correspondence Address:



200792

PATENT TRADEMARK OFFICE

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☐ 41 pages of Specification (including 43 claims)
3. ☒ 10 sheets of Formal Drawings (35 USC 113)
4. ☐ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 5 Below]
  - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. ☐ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

JC796 U.S. PTO  
06/20/01

09885894 "062001"


7. ☐ Microfiche Computer Program (*Appendix*)  
 8. ☐ Assignment papers (*cover sheet(s) and document(s)*)  
 9. ☒ Applicant claims small entity status. See 37 CFR 1.27.  
 10. ☐ Information Disclosure Statement, PTO-1449, and \_\_\_\_\_ references cited  
 11. ☐ Preliminary Amendment (***Please enter all claim amendments prior to calculating the filing fee.***)  
 12. ☐ English Translation Document  
 13. ☐ Certified Copy of \_\_\_\_\_ Application No. \_\_\_\_\_ ; Filed  
 14. ☐ Sequence Listing/ Sequence Listing Diskette  
     a. ☐ computer readable copy  
     b. ☐ paper copy  
     c. ☐ statement in support  
 15. ☐ An Associate Power of Attorney  
 16. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)  
 17. ☒ The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.  
     ☐ No.  
     ☒ Yes, the name of the U.S. Government agency and the Government contract number are:

18. ☒ Other: Application Filed Under 37 CFR 1.41(c)  
 The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate      Fee	Large Entity Rate      Fee
BASIC FEE			\$355.00	\$ 710.00
TOTAL CLAIMS	43 - 20 =	23	x 09 = \$ 207.00	x 18 = \$
INDEP CLAIMS	2 - 3 =	0	x 40 = \$	x 80 = \$
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 135 = \$	+ 270 = \$
<i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i>			Total \$ 562.00	Total \$

- ☒ A check in the amount of **\$562.00** to cover the filing fee is enclosed.  
☐ A check in the amount of \$ \_\_\_\_\_ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).  
☐ Please charge my Deposit Account No. 50-0220 in the amount of \$ \_\_\_\_\_  
☐ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:  
     a. ☐ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.  
     b. ☐ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
 Kenneth D. Sibley  
 Registration No. 31,665

Filed: June 20, 2001

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EL733094265US

Date of Deposit: June 20, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231.

Vickie Diane Prior  
Vickie Diane Prior

0986594-062001  
T00290-4689860

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gregory S. Shelness  
Serial No. To Be Assigned  
Filed: Concurrently Herewith  
FOR: **TRUNCATED APOLIPOPROTEIN B-CONTAINING LIPOPROTEIN  
PARTICLES FOR DELIVERY OF COMPOUNDS TO TISSUES OR  
CELLS**

June 20, 2001


BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above-identified application is being filed on behalf of the inventor, **Gregory S. Shelness**, under the provisions of 37 CFR 1.41(c). A Declaration and Power of Attorney from the inventor will follow, 37 CFR 1.63.

Respectfully submitted,



Kenneth D. Sibley  
Registration No. 31,665

**USPTO Customer No. 20792**  
Myers Bigel Sibley & Sajovec  
Post Office Box 37428  
Raleigh, North Carolina 27627  
Telephone (919) 854-1400  
Facsimile (919) 854-1401

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EL733094265US  
Date of Deposit: June 20, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:  
BOX PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231.



Vickie Diane Prior